



# Best Practices for Managing Corporate Ethics & Compliance Risks Self-Study Webinar (1.5 Hours)

SA40524A  
SA405

Self Study Webcast

Dec 20 - Dec 21

**Overview:** This webinar will discuss the importance of having a compliance program in place to protect your business. It will also discuss how to

- State and federal law duties
- Leading current guidance: DOJ hallmarks of effective compliance programs
- Key hallmarks and risks
- Takeaways

## Objective:

Learn the importance of performing periodic risk assessments—and implementing successful compliance and ethics programs—to protect your business.

[Detailed Learning Objectives](#) [1]

## Emphasis:

- Delaware Duties
- Federal Sentencing Guidelines (Culpability & Mitigation)
- Hallmarks of Effective Compliance Programs
- Relevant DOJ Guidance for Evaluating Programs
- Incentives
- Program Structure
- Compliance Function Autonomy & Resources
- Risk Assessment
- Managing Specific Risks
- Third Parties
- Electronic Communications
- Autonomy & Resources
- Implementing the Program
- Continuous Improvement—Periodic Testing & Review
- Confidential Reporting & Investigations
- Incentives & Disciplinary Measures

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**BottomPrerequisite:**

None.

**Preparation:**

No advance preparation required.

**Level of Knowledge:**

Overview.

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**Links:**

[1] [https://www.cpeonline.com/JavaScript:showObjectivesPopup\(\);](https://www.cpeonline.com/JavaScript:showObjectivesPopup();)