



Best Practices for Managing Corporate Ethics & Compliance Risks Self-Study Webinar (1.5 Hours)

SA40524A
SA405

Self Study Webcast

Dec 20 - Dec 21

Overview: This course is designed to help you understand the importance of having a compliance program in place. It will discuss how to

- State and federal law duties
- Leading current guidance: DOJ hallmarks of effective compliance programs
- Key hallmarks and risks
- Takeaways

Objective:

Learn the importance of performing periodic risk assessments—and implementing successful compliance and ethics programs—to protect your business.

[Detailed Learning Objectives](#) [1]

Emphasis:

- Delaware Duties
- Federal Sentencing Guidelines (Culpability & Mitigation)
- Hallmarks of Effective Compliance Programs
- Relevant DOJ Guidance for Evaluating Programs
- Incentives
- Program Structure
- Compliance Function Autonomy & Resources
- Risk Assessment
- Managing Specific Risks
- Third Parties
- Electronic Communications
- Autonomy & Resources
- Implementing the Program
- Continuous Improvement—Periodic Testing & Review
- Confidential Reporting & Investigations
- Incentives & Disciplinary Measures

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BottomPrerequisite:

None.

Preparation:

No advance preparation required.

Level of Knowledge:

Overview.

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Links:

[1] [https://www.cpeonline.com/JavaScript:showObjectivesPopup\(\);](https://www.cpeonline.com/JavaScript:showObjectivesPopup();)