



SEC Accounting & Reporting: A Two-Day Boot Camp Self-Study Webcast (22 Hours)

SA00323I
SA003

Self Study Webcast
Dec 20 - Dec 21

Overview:

This self-study webinar is an ideal introduction to the SEC world and is an excellent refresher for the seasoned veteran. You will:

- Learn why, what and when companies must file reports and documents with the SEC
- Become familiar with the SEC's filing forms and rules
- See examples of filings and disclosures
- Understand the process as well as the reporting requirements for securities offerings
- Learn how to get answers to your questions

Objective:

To provide you with a thorough overview of the SEC filing and financial reporting requirements and process from the accountant's perspective. You will get insight into the do's and don'ts when preparing your filings, dealing with the SEC staff and learning how to avail yourself of SEC reference materials.

[Detailed Learning Objectives](#) [1]

Emphasis:

- The SEC's organizational structure
- 1934 Act filing requirements: periodic and current event reports; annual report to shareholders; proxy statements
- Regulation S-X requirements for financial statements
- Regulation S-K requirements for information outside the financial statements, including Management's Discussion and Analysis and executive compensation
- SEC staff accounting bulletins and financial reporting releases
- Non-GAAP financial measures
- SEC comment letters: how to deal with them and how to avoid them
- Disclosures for smaller reporting companies
- Registration and disclosure requirements for public offerings, including IPOs
- Using the Emerging Growth Companies guidance to accelerate your offerings
- Securities offerings exempt from registration, including Reg. A+
- Internal control reporting requirements under the Sarbanes-Oxley Act
- Researching and resolving SEC issues

Note: In addition to a comprehensive manual, all attendees will receive a copy of the SEC Handbook.

1. Issued by the SEC, this manual is not intended to be used as a substitute for the SEC Handbook.

2. Issued by the SEC, this manual is not intended to be used as a substitute for the SEC Handbook.

3. Issued by the SEC, this manual is not intended to be used as a substitute for the SEC Handbook.

4. Issued by the SEC, this manual is not intended to be used as a substitute for the SEC Handbook.



SEC Accounting & Reporting: A Two-Day Boot Camp Self-Study Webcast (22 Hours)

Published on CPE INC. (<https://www.cpeonline.com>)

1. Recognize the SEC division through which most entities have routine registration

2. Identify the SEC division through which entity offering offshore registration statements are reviewed

3. Recognize the SEC division responsible for investigations and possible violations of the federal securities law

4. Recognize the SEC division through which oversight of the public sector is conducted including securities law

5. Identify the entity regulated by the SEC as the designated accounting standard setter for public companies

6. Identify the SEC Office responsible for assessing policy recommendations, the form and content of financial statements to be filed with the SEC, and CSR values

7. Recognize the types of companies required to file annual reports with the SEC pursuant to Sections 13(a) or 15(d) of the Securities Act

8. Identify the standards for conducting annual reporting to the SEC

9. Recognize the standards for categorization of reporting companies by the SEC

10. Identify the various forms and procedures used by registrants when submitting information to the SEC

11. Identify the responsibilities of the SEC's Integrated Disclosure System

12. Identify the format of Regulation S-X

13. Identify various forms of Regulation S-X and their requirements

14. Recognize the format of Regulation S-X

15. Recognize the format of the Prospectus within the Form S-1

16. Recognize the format of specified items in Regulation S-X within the Form S-1 Prospectus

17. Identify the format for Issuing Company SEC data

18. Identify various forms and items of Form S-33 and their respective reporting use

19. Recognize Item 19 and Item 19 of Form S-33 and applicability to, or otherwise related to Small Reporting Companies (SRCs)

20. Identify the annual report to shareholders that must be prepared for the presentation of current stockholder proposal documents

21. Identify the combined presentation of a General SEC report within the annual report to shareholders

22. Recognize the location of guidance for the preparation of the Form S-3

23. Recognize the filing date of Form S-33 for accelerated and non-accelerated filers

24. Identify various forms and items of Form S-33 and their respective reporting use

25. Identify Item 19 and Item 19 of Form S-33 and applicability to, or otherwise related to Small Reporting Companies (SRCs)

26. Identify various forms and items of Form S-X and their respective reporting use

27. Identify various forms of SEC guidance and where to find for annual

28. Identify Item 19 and Item 19 of Form S-33

29. Recognize Item 19 and Item 19 of Form S-33

30. Identify the various provisions of SEC's Integrated Disclosure System



*Template and Webcast are trademarks of CPE INC.

*Template and Webcast are trademarks of CPE INC.

BottomPrerequisite:

Basic knowledge of financial accounting and reporting.

Preparation:

No advance preparation required.

Level of Knowledge:

Basic.

[NASBA & State Sponsor Information](#) | [Policies, Terms & Conditions](#)

Source URL:

<https://www.cpeonline.com/selfstudycourse/webcast/sec-accounting--and--reporting--a-two-day-boot-camp-self-study-webcast-%2822-hours%29-1>

Links:

[1] [https://www.cpeonline.com/JavaScript:showObjectivesPopup\(\);](https://www.cpeonline.com/JavaScript:showObjectivesPopup();)