



SEC Accounting & Reporting: A Two-Day Boot Camp Self-Study Webcast (22 Hours)

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Self Study Webcast
Dec 20 - Dec 21

Overview:

This self-study webinar is an ideal introduction to the SEC world and is an excellent refresher for the seasoned veteran. You will:

- Learn why, what and when companies must file reports and documents with the SEC
- Become familiar with the SEC's filing forms and rules
- See examples of filings and disclosures
- Understand the process as well as the reporting requirements for securities offerings
- Learn how to get answers to your questions

Objective:

To provide you with a thorough overview of the SEC filing and financial reporting requirements and process from the accountant's perspective. You will get insight into the do's and don'ts when preparing your filings, dealing with the SEC staff and learning how to avail yourself of SEC reference materials.

[Detailed Learning Objectives](#) [1]

Emphasis:

- The SEC's organizational structure
- 1934 Act filing requirements: periodic and current event reports; annual report to shareholders; proxy statements
- Regulation S-X requirements for financial statements
- Regulation S-K requirements for information outside the financial statements, including Management's Discussion and Analysis and executive compensation
- SEC staff accounting bulletins and financial reporting releases
- Non-GAAP financial measures
- SEC comment letters: how to deal with them and how to avoid them
- Disclosures for smaller reporting companies
- Registration and disclosure requirements for public offerings, including IPOs
- Using the Emerging Growth Companies guidance to accelerate your offerings
- Securities offerings exempt from registration, including Reg. A+
- Internal control reporting requirements under the Sarbanes-Oxley Act
- Researching and resolving SEC issues

Note: In addition to a comprehensive manual, all attendees will receive a copy of the SEC Handbook.

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Recognize the SEC division through which most entities have routine registration

Identify the SEC division through which entity offering registered securities are reviewed

Recognize the SEC division responsible for investigating any possible violations of the federal securities law

Recognize the SEC division through which oversight of the public sector is conducted relating to public LBOs

Identify the entity regulated by the SEC as the designated accounting standard setter for public companies

Identify the SEC Office responsible for assessing policy recommendations, the form and content of financial statements to be filed with the SEC, and CSR matters

Recognize the types of companies required to file annual reports with the SEC pursuant to Sections 13(a) or 15(d) of the Securities Act

Identify the division responsible for conducting annual reporting to the SEC

Recognize the likelihood for categorization of reporting companies by the SEC

Identify the various forms and procedures used by registrants when submitting information to the SEC

Identify the responsibilities of the SEC's Integrated Disclosure System

Identify the format of Regulation S-X

Identify various forms of Regulation S-X and their requirements

Recognize the format of Regulation S-X

Recognize the format of the Prospectus within the Form S-1

Recognize the format of specified items in Regulation S-X within the Form S-1 Prospectus

Identify the likelihood for forwarding financial company SEC data

Identify various forms and items of Form S-33 and their respective reporting use

Recognize when forms and items of Form S-33 are applicable to, or otherwise subject to Small Reporting Company (SRC)

Identify the annual report to shareholders that must be prepared for the presentation of current stock market price and dividends

Identify the combined presentation of a financial SEC report within the annual report to shareholders

Recognize the location of guidance for the preparation of the Form S-2

Recognize the filing date date of Form S-2 for accelerated and non-accelerated firms

Identify various forms and items of Form S-23 and their respective reporting use

Identify when forms and items of Form S-23 are applicable to, or otherwise subject to Small Reporting Company (SRC)

Identify various forms and items of Form S-X and their respective use when they must be required

Identify various sections of SEC guidance and when filing for annual

Identify when guidance for working with SEC staff

Recognize when guidance for working with SEC staff

Identify the main provisions of SEC's proposed climate related disclosure



BottomPrerequisite:

Basic knowledge of financial accounting and reporting.

Preparation:

No advance preparation required.

Level of Knowledge:

Basic.

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Links:

[1] [https://www.cpeonline.com/JavaScript:showObjectivesPopup\(\);](https://www.cpeonline.com/JavaScript:showObjectivesPopup();)