Best Practices for Managing Corporate Ethics & Compliance Risks Self-Study Webinar (1.5 Hours)

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Self Study Webcast
Dec 20 - Dec 21

Overview:

Featuring real-world, practical advice in this program, our speakers will discuss how to identify risks successfully, and deal with them to keep a business healthy. Our experts will also share

- State and federal law duties
- Leading current guidance: DOJ hallmarks of effective compliance programs
- Key hallmarks and risks
- Takeaways

Objective:
Learn the importance of performing periodic risk assessments—and implementing successful compliance and ethics programs—to protect your business.
Detailed Learning Objectives [1]

Emphasis:

- Delaware Duties
- Federal Sentencing Guidelines (Culpability & Mitigation)
- Hallmarks of Effective Compliance Programs
- Relevant DOJ Guidance for Evaluating Programs
- Incentives
- Program Structure
- Compliance Function Autonomy & Resources
- Risk Assessment
- Managing Specific Risks
- Third Parties
- Electronic Communications
- Autonomy & Resources
- Implementing the Program
- Continuous Improvement—Periodic Testing & Review
- Confidential Reporting & Investigations
- Incentives & Disciplinary Measures

- Identify the legal effort directors and officers must expend to ensure an organization's compliance with applicable statutes and regulations

- Recognize the sources of mandatory corporate compliance programs and federal law

- Recognize the methodology for the assignment of culpability under the federal sentencing guidelines

- Identify DOJ guidance for evaluating corporate compliance programs

- Recognize the components of leading corporate compliance programs

- Recognize the characteristics of successful risk assessment programs

Bottom**Prerequisite:**
None.

Preparation:
No advance preparation required.

Level of Knowledge:
Overview.

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